

STATEMENT FOR SELF-CERTIFIED RESTRICTED INVESTOR

I declare that I am a self-certified restricted investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2005.

I understand that this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Conduct Authority;
- (b) the content of such financial promotions may not conform to rules issued by the Financial Conduct Authority;
- (c) by signing this statement, I may lose significant rights;
- (d) I may have no right to complain to either of the following—
 - (i) the Financial Conduct Authority; or
 - (ii) the Financial Ombudsman Scheme;
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified restricted investor because at least one of the following applies—

- (a) In the twelve months preceding, I/We have not invested more than 10% of My/our net assets in non-mainstream pooled and/or syndicated investments and/or speculative liquid securities;
- (b) I/We undertake that In the twelve months following, I/We have not invested more than 10% of My/our net assets in non-mainstream pooled and/or syndicated investments and/or speculative liquid securities;

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Print Name:

Signature:

Date:
